

**UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS**

MASSACHUSETTS MUTUAL LIFE  
INSURANCE COMPANY,

Plaintiff,

v.

No. 11-cv-30285 (MAP)

MERRILL LYNCH, PIERCE, FENNER &  
SMITH, INC.; DEUTSCHE BANK  
SECURITIES INC.; GOLDMAN, SACHS &  
CO., INC.; J.P. MORGAN SECURITIES LLC;  
AND RBS SECURITIES, INC.,

Defendants.

**DEFENDANT J.P. MORGAN SECURITIES LLC'S CERTIFICATION  
PURSUANT TO LOCAL RULE 16.1(D)(3)**

Pursuant to Rule 16.1(d)(3) of the Local Rules of the United States

District Court for the District of Massachusetts, undersigned counsel hereby certifies that Defendant J.P. Morgan Securities LLC and counsel of record from Cravath, Swaine & Moore LLP conferred (a) with a view to establishing a budget for the costs of conducting the full course—and various alternative courses—of the litigation; and (b) to consider the resolution of the litigation through the use of alternative dispute resolution programs such as those outlined in Local Rule 16.4.

Dated: May 29, 2012

Respectfully submitted,

THE DEFENDANT J.P. MORGAN SECURITIES  
LLC

By /s/ Jeffrey L. McCormick

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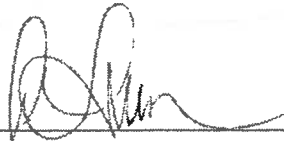
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By



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**CERTIFICATE OF SERVICE**

I hereby certify that this document filed through the ECF system will be sent electronically to the registered participants as identified on the Notice of Electronic Filing (NEF) and paper copies will be sent to those indicated on non-registered participants on this 29th day of May, 2012.

/s/ Jeffrey L. McCormick

Jeffrey L. McCormick, Esq.